

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 33318/WWM/D245
Inventor(s) : William D. Castagna
Title : METHOD FOR CUSTOMIZING INFORMATION FOR INTERACTING
WITH A VOICE MAIL SYSTEM
Express Mail Label No. : EL078820992US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: October 1, 1998

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

☐ This application is a of patent application No. . (A copy of this letter is enclosed for filing in the prior application file.)

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/060,812.

3. **APPLICATION COMPRISED OF**

Specification

32 Specification, claims and Abstract (total pages)

Drawings

3 Sheets of drawing(s) (FIGS. 1 to 3)

Declaration and Power of Attorney

☐ Newly executed

☒ No executed declaration (Unsigned Declaration and Power of Attorney attached)

☐ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ☐ **Microfiche Computer Program** (Appendix)

5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

☐ Computer Readable Copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

6. **ALSO ENCLOSED ARE**

☐ Preliminary Amendment

☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers

☐ Small Entity Statement(s)

☐ Statement filed in parent application, status still proper and desired

☐ Copy of Statement filed in provisional application, status still proper and desired

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 33318/WWM/D245

- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

7. CORRESPONDENCE ADDRESS

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Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



LeRoy T. Rahn

Reg. No. 20,356

626/795-9900; 213/681-1800

WWM/bcc
Enclosures

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: October 1, 1998

Docket No. : 33318/WWM/D245
Inventor(s) : William D. Castagna
Title : METHOD FOR CUSTOMIZING INFORMATION FOR INTERACTING
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FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	31 - 20 =	11	11 x \$11.00	\$121.00
B	INDEPENDENT CLAIMS	2 - 3 =	0	0 x \$41.00	
C	SUBTOTAL	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)			\$121.00
D	BASIC FEE	SMALL ENTITY FEE = \$395.00 LARGE ENTITY FEE = \$790.00			\$395.00
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = \$135.00 LARGE ENTITY FEE = \$270.00			\$
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$516.00
List Independent Claims: 1, 26					

METHOD OF PAYMENT

☐ Payment Enclosed

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



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626/795-9900; 213/681-1800

METHOD FOR CUSTOMIZING INFORMATION FOR INTERACTING WITH A
VOICE MAIL SYSTEM

CROSS REFERENCE TO RELATED APPLICATIONS

This application is a nonprovisional application of U.S. provisional patent application "VOICE MAIL SYSTEM AND METHOD WITH SUBSCRIBER SELECTION OF AGENT PERSONALITIES," U.S. serial number 60/060,812, filed October 1, 1997, having Will Castagna as the inventor. The 60/060,812 application is hereby incorporated by reference in its entirety.

BACKGROUND OF THE INVENTION

Prior art voice mail systems use series of pre-recorded messages to allow the voice mail system to interact with both incoming callers and subscribers to the voice mail system (those who have mailboxes on the system). Applications written for the voice mail system and the inputs made by incoming callers and/or subscribers determined which pre-recorded messages are played and the order in which they are played. These inputs are usually in the form of DTMF (Dual Tone Multi-Frequency) tones generated when the caller or subscriber presses a button on the telephone keypad. Some of the pre-recorded messages include context related information. A common example of such a message is, "You have <number> new <message/messages>." The "<number>" portion of the message is replaced by a pre-recorded message fragment of the appropriate number for the mailbox being accessed. Further, the system chooses whether to use a pre-recorded fragment for "message" or for "messages" depending on whether the numeric value of <number> is 1 or another number. Prior art voice mail systems typically use one set of prerecorded messages for all subscribers and callers. This one set of prerecorded messages also uses a single voice for all of the messages in

1 the set. The same person (voice talent) records each of the messages.

5 A problem with the prior art voice mail systems is that different people find different speech patterns appealing. Different people tend to like and thus better understand different voice patterns. Aspects of voice patterns include fast or slow speaking, sing-songy speaking or monotonatic, pitch, dialect and the gender of the speaker. Voice patterns also can reflect different personalities of the speaker.

10 SUMMARY OF THE INVENTION

15 It is an object of the present invention to provide a method and apparatus for personalizing voice messages to be used by a voice mail system in interacting with a user based on information provided by the user in an interactive communication between the voice mail system and the user. The method comprises of creating a number of different sets of recorded messages according to distinct personalities and voice tones, selecting a recorded message from the different sets of messages interactive inquiries between the user and the voice mail system. In one embodiment, the selected message is further personalized by modifying the speed, dialect, and/or pitch of the message. In another embodiment, the recorded messages are automatically created corresponding to the user's own voice and speech patterns. In the preferred embodiment, a sample introduction from the number of sets of recorded messages is played for the user while the system is waiting for a selection from the user. When a selection is made by the user, the system confirms the selected recorded message by playing back a confirmation message using the same personality as the selected message. The recorded messages can be automatically selected for a given user/caller by using the Automatic Number Identification (ANI) information, Caller ID information, or voice recognition technology.

1 Still other embodiments of the present invention will
become readily apparent to those skilled in the art from the
following detailed description, wherein is shown and described
5 only embodiments of the invention by way of illustration of
the best modes contemplated for carrying out the invention.
As will be realized, the invention is capable of other and
different embodiments and its several details are capable of
modification in various obvious respects, all without
10 departing from the spirit and scope of the present invention.
Accordingly, the drawings and detailed description are to be
regarded as illustrative in nature and not as restrictive.

BRIEF DESCRIPTION OF THE DRAWINGS

15 FIG. 1 is a block diagram of the telecommunications
application hardware platform according to a preferred
embodiment of the invention.

FIG. 2 is a flow chart of the process used by subscriber
in conjunction with the preferred embodiment of selecting an
agent for that subscriber.

20 FIG. 3 is a flow chart of the process used by the system
for automatically selecting an agent using a combination of
ANI and voice recognition methods for caller identification.

DETAILED DESCRIPTION

25 A block diagram of the telecommunications application
hardware platform 10 used with the preferred embodiment is
shown in FIG. 1. The typical telecommunications applications
run on the platform include call answering (including voice,
30 fax and data processing), multimedia messaging, voice
recognition and call management. Despite having functionality
beyond handling voice calls, for convenience, the
telecommunications application hardware platform together with
its various telecommunications applications will be referred
35 to herein as the "voice mail system." The platform has three

1 main elements, a series of media modules 12, a series of
application modules 14 and a series of management modules 16.
These three series of modules are interconnected using a dual
5 high speed Ethernet backbones, centered around Ethernet Hub A
18 and Ethernet Hub B 20. Both Ethernet hubs are connected to
an Intranet 22, which allows the platform to communicate to
and receive commands from subscribers through their desktop
computers and through the Intranet. Alternatively, any
10 network other than traditional Intranets may be employed,
including the Internet. A principle kept in mind in the
design of the platform is the ability to offer extremely high
operational availability of the platform and applications
running on it.

15 The media modules 12 provide media storage, media flow
(play/record), media transformation (Digital Signal Processing
- DSP) resources and the telephony interfaces for the
platform. The media module is capable of playing and
recording multimedia messages (voice, fax, e-mail) from/to
20 disk as well as supplying tone detection/generation, voice
recognition, text to speech and fax modem services.

Each media module 12 uses N+1 power and RAID disk
technologies to enhance its reliability and availability.
Additionally, media modules are clustered to allow failover
between modules in the event one of them should fail.
25 Preferably, the operating system for the media module host CPU
is Solaris.

Application modules are NT servers hosting Microsoft's
Windows NT Server software. They host the applications and
database services for the platform. Communication with media
30 modules is via the media module API and remote procedure
calls. Like the media modules, the application modules make
use of N+1 power and RAID disks 30 and are themselves
clustered for high availability operation.

1 The management module 16 is a specialized version of an
application module. The management module is responsible for
hosting the cluster management services and call manager
5 application and is central point for the collection and
control of the platform fault management and alarming. To
support all of this, the management module contains extra
hardware for connection to media module consoles (not shown),
application module maintenance ports, and the system monitor.
10 The system monitor provides fault monitoring for each of the
elements of the platform as well as providing critical, major
and minor relay connections to the facility alarm grid. It
communicates with each management module across an RS232 link.
In some configurations, the platform management services and
15 applications may reside on the same NT servers.

20 The Ethernet hubs 18, 20 tie all of the media,
application, and management modules together. Each module has
dual 100 Base-T Ethernet connections 29 that terminate at two
separate Ethernet hubs 18, 20. There is a third 10 Base-T
Ethernet connection 24 between the media modules that is used
for a heartbeat for a failover mechanism.

25 A media module 12 consists of a 20 slot VME enclosure,
common control, T1 or E1 telephony interface cards, fax
service circuit cards (optional), and a storage subsystem.
All of these components are packaged in a NEBs compliant
cabinet enclosure.

30 The media module 12 has four main components: media
module enclosure power & package; common control;
telephony/DSP/service circuits/module; and media storage.

35 The preferred embodiments includes a pair of media
modules each of which can host up to a maximum of 240
telephony and 240 fax ports. However, alternative embodiments
include additional media modules.

1 The media module common control consists of the host CPU, SCSI host interfaces, Ethernet controllers and the environmental monitor.

5 The media module host CPU is packaged in a three slot, 6U VME bus module. The CPU requires a fourth backplane slot when Sbus modules are installed. The Sbus module carrier is used in the preferred embodiment. For the preferred embodiment the media module host CPU supports: Processor: Dual Hyper SPARC; Memory: 64 to 512 MB ECC DRAM; Sbus: Two standard Sbus slots; SCSI: Two fast/narrow SCSI-2 ports; Ethernet: Two 10 Base-T Ethernet ports; and Serial I/O: Four RS 232 ports

10 The host CPU can support several daughter board processor modules. The preferred embodiment will use processor modules with speeds of either 125 MHz, 150 MHz, 166 MHz or 200 MHz depending on performance requirements. Single, dual and quad processor configurations are possible in alternate embodiments.

15 The host CPU can accommodate up to 512 MB of error correcting [ECC] memory in increments of 64 MB. The ECC provides single bit error correction and multiple bit error detection on a per byte basis. The amount of memory populated will depend on performance requirements.

20 The media module includes a 100 Base-T Ethernet controller that connects to the 100 Base-T Ethernet hub designated as network B. This network serves as the backup network for network A.

25 Each media module has an environmental monitor [EM] to monitor and report on the system's condition and operating environment. The controller is a single slot VME card which the CPU interrogates across the VME bus.

30 The environmental monitor provides: RAID power and fan status for up to 3 RAID shelves; Media Modules cabinet temperature; VME enclosure temperature; VME backplane voltage monitor (software readable): +5 volts, +12 volts, -12 volts;

1 Cabinet fan status; VME enclosure power and fan status;
Control of the display panel fault LED; Signaling of media
module faults to a management module; Remote VME bus reset (to
5 reset the buddy media module); Signaling a fault to a
management module for the buddy media module; Voltage test
points for VME backplane voltages; LED indicators for VME
backplane voltages; and 16 LED indicators for media module
fault conditions.

10 Telephone network and service circuit interface modules
provide the telephony, DSP and service circuit resources for a
media module. The modules are made up of a base board and one
or more daughter cards combined into a single 6U VME64 module.

15 The T1/Voice interface card consists of four T1 spans and
the DSP resources to support basis voice functionality for 96
channels. The configuration as described below occupies a
single VME backplane slot. VME base board with an Intel i960
processor, 4 MB of DRAM and two T1 spans; Dual span T1
daughter board; and Signal processor daughter board with six
20 Motorola 66 MHz 56303 DSPs.

25 The E1/Voice interface card consists of four E1 spans and
the DSP resources to support basis voice functionality for 90
channels. The forth span, allowing 120 channels, will be
enabled with in a future version of the hardware. The
configuration as described below occupies a single VME
backplane slot. VME base board with an Intel i960 processor,
4 MB of DRAM and two E1 spans; Dual span T1 daughter board;
and Signal processor daughter board with six Motorola 66 MHz
56303 DSPs.

30 The fax module has the processing resources to provide 24
channels of fax transmit and receive functionality in a single
VME slot.

35 A fax module consists of: VME base board with an Intel
i960 processor, 8 MB of DRAM; Signal processor daughter board
with six Motorola 66 MHz 56303 DSPs.

1 Media storage is accomplished using a dual controller RAID system. The RAID configuration is 0+1 (mirrored and striped).

5 The specifics of the RAID system described here are unique to the Artecon product. Alternatively, RAID systems from other manufacturers may be used. SCSI bus termination is done externally to the RAID controllers so that the controllers may be hot swapped without interfering with the SCSI bus termination.

10 An application module consists of an industrial grade PC, common control, and a storage subsystem.

15 The preferred embodiment supports two pairs of application modules and their storage subsystems packaged in a NEBs compliant cabinet enclosure. Each pair of application modules shares a single shelf dual controller RAID system.

Common control of an application module includes the host CPU, a video controller, a SCSI controller, two Ethernet controllers and an environmental monitor.

20 The host CPU is a passive backplane single board computer with an ISA and PCI bus interface. It is designed to plug into a PICMG compatible passive backplane that provides both ISA and PCI card slots. For the preferred embodiment the application module host CPU supports: Pentium Pro processor; custom BIOS; 128 MB ECC DRAM; floppy controller port; IDE interface; and two RS232 ports.

25 The processor in the application module of the preferred embodiment is a Pentium Pro operating at a core speed of 200 MHz and a bus speed of 66.67 MHz.

30 The BIOS contains custom extensions to allow remote serial communications with the host when the operating system is not loaded. Communication is supported across comm port 1. This remote preboot access permits maintenance personnel to interrogate/modify the BIOS CMOS settings and to run
35 diagnostics when a system is off line.

1

The host CPU can support up to 512 MB of error correcting [ECC] memory. The preferred embodiment provides 128 MB of ECC memory. The ECC provides single bit error correction and multiple bit error detection on a per byte basis.

5

10

Application modules are designed to operate without a keyboard or monitor; however, a video controller is still required by the Windows NT operating system to allow the system to boot. All application modules are equipped with a generic video controller. Depending on a particular system, they may be either PCI or ISA cards. The video controller's I/O is not routed to the bulkhead, but it is accessible from the rear of the application module chassis.

15

Each application module has two PCI bus 100 MB Ethernet interface cards. The Ethernet interface is 100 Base-T.

Each application module has an environmental monitor [EM] to monitor and report on the system's condition and operating environment. The controller is a single slot ISA bus card which the CPU interrogates across the ISA bus.

20

The EM has a ISA slave interface that occupies 4 bytes in the ISA I/O address space.

25

The environmental monitor provides: RAID power and fan status; application module cabinet temperature; application module cabinet fan status; PC enclosure temperature; PC enclosure fan status; PC enclosure power status; host processor (Pentium Pro) temperature; ISA/PCI backplane voltage monitor: +5, -5 volts, +12 volts, -12 volts; control of the cabinet's display panel fault LED; control of the PC enclosure's thermal warning LED; signaling of application module faults to a management module; CPU reset (to reset itself) Note: a CPU reset resets all boards in the AM; remote CPU reset (to reset the buddy application module); and signaling a fault to the management module for the buddy application module.

30

35

1 The application module has a PCI, differential, fast/wide
SCSI-2 host controller for connection to the RAID system. For
compatibility with NT clustering, the controller's SCSI ID can
5 be changed programmatically.

Storage is accomplished using a dual controller RAID
system. The RAID configuration is 0+1 (mirrored and
stripped).

Again, SCSI bus termination is done externally to the
10 RAID controllers so that the controllers may be hot swapped
without interfering with SCSI bus termination.

The management module uses the same components as an
application module. In addition, it has peripheral storage
devices and expansion serial ports to accommodate
15 console/maintenance port connections and alarming.

The preferred embodiment was one pair of management
modules and their storage sub-system packaged in a NEDs
compliant cabinet enclosure.

A second PCI SCSI host controller that interfaces to the
20 tape drive is provided in management module 2 16b. This
controller has a single ended, fast/wide SCSI-2 interface.

A tape drive and CDROM are equipped in one of the
management modules. Failover/redundancy is not provided for
in these peripheral devices.

For doing database back ups, a four millimeter SCSI, DAT
25 tape drive is installed in management module 2 16b. The tape
is connected to a SCSI host controller separate from the one
used for the RAID system. The tape storage capacity is 4 GB.

For media distribution, installation and upgrade a 12x
30 speed CDROM is installed in management module 16a. The CDROM
interfaces to the host CPU's on board IDE bus.

The preferred embodiment uses dual IEEE 802.3 compliant
100 MB Ethernet networks to connect all of the internal
modules. Both networks are built around 12 port 100 MB
35 repeater hubs that connect to each of the networked modules.

1

Each hub has a single power supply. The hubs are connected to separate input power feeds to allow maintenance to be performed on one of them without disturbing the other.

5

Two ports from each hub are routed to the management module's I/O bulkhead. These provide the external network access to the cluster.

10

Each medial module can support a maximum of 240 telephony ports. The ports can be either T1 or E1, but both may not be mixed within the same backplane.

For a T1 system a maximum of three 4 span line cards may be installed in each media module. In this configuration only two of the four spans are usable on the third line card.

15

For an E1 system a maximum of three 3 span line cards may be installed in each media module. In this configuration only two of the three spans are usable on the third line card.

The preferred embodiment supports a 24 port fax card. Each media module can accommodate a maximum of 10 fax cards for a total of 240 fax ports.

20

The minimum number of disks allowed in any RAID configuration is 3. In the media module the maximum number of RAID disks allowed is 15 with 14 available for storage and 1 reserved for a global spare. In the application and management modules the maximum number of RAID disks allowed is 7 with 6 available for storage and 1 reserved for a global spare. In each RAID system two slots are reserved for building new RAID sets when performing disk upgrades.

25

30

The platform is designed for modular hardware serviceability. The following components support live insertion/removal and may be added or replaced with no impact on system operation: media module (including RAID disk drives and controllers; VME & RAID power supplies & fans; telephone network interface modules; fax service circuits; and CDRom drive); and application module/management module (including

35

1 RAID disk drives and controllers; AM/MGM & RAID power supplies
& fans; and AB switch power).

5 If the need arises to replace a component that does not
support hot swap, redundancy allows failing over to another
resource during the maintenance period.

10 The platform provides the hardware capability to perform
software upgrades without shutting the entire platform down.
Upgrades are performed incrementally on each module until all
modules are at the new software level. There may be some
degradation in the level of service during the time a module
is being upgraded.

15 For upgrade purposes, a single media module may be
removed from service, upgraded and returned to service with
the only result being reduced port capacity during the down
time interval.

An individual media module must be removed from service
to upgrade any of the common control components.

20 Network interface modules are hot-plug and may be added
or removed from a media module without service interruption.

Fax cards are hot-plug and may be added or removed from a
media module with service interruption.

25 Increased storage upgrades are expected to occur with
each advancement made in disk drive storage capacities. The
platform architecture provides a transparent upgrade path that
requires no down time to the media module being upgraded.
Requirements for the upgrade are as follows: two open slots in
the RAID subsystem; and all disk drives in a logical unit must
be upgraded at the same time.

30 The upgrade capabilities for the application and
management modules are identical.

The application modules are configured in redundant
pairs. One application module may be removed from service and
upgraded without interrupting service to the cluster.

1 Application and management modules must be removed from service to service any of the common control components.

5 In the preferred embodiment there is no present need to upgrade the Ethernet networks exists, however, the platform Architecture allows for network upgrades to accommodate expansion in future releases. As the cluster grows, the network structure can be migrated to higher speed Ethernet, ATM of other network technologies.

10 In the preferred embodiment, the application modules include the applications that supply the functionality of voice mail system that is apparent to a user of the voice mail system. As stated above, the applications typically include the functionality of call answering (including voice, fax and data processing), multimedia messaging, voice recognition and call management, it can include any telecommunications functions. In the preferred embodiment, the application includes several sets of pre-recorded messages. All of the messages of a particular set of pre-recorded messages are recorded by the same voice talent. However, different sets of pre-recorded messages may be recorded by different voice talents. Additionally, each set of pre-recorded messages is recorded by the voice talent using the same basic speed, dialect, pitch and personality. Of these different voice qualities used in recording a set of messages, personality is of importance. The same voice talent can record messages using any of a variety of distinct personalities and emotional qualities, such as happy, serious, verbose and terse. When multiple voice talents are also used, the number and variety of different voice/personality combinations is considerable.

30 The preferred embodiment then allows the user to select one from the various sets of pre-recorded messages stored in the application module. Once a set of pre-recorded messages is chosen, that set represents the subscriber's chosen "agent"
35 for interacting with the voice mail system. In an alternative

1 embodiment, he selected pre-recorded message can be further personalized by varying the speed, dialect, and/or pitch of the message using digital signal processing techniques.

5 The preferred embodiment employs a brief interview process shown in FIG. 2 to allow the user to select the agent with the personality preferred by the user. When a subscriber first uses the voice mail system, or decides to change their agent, the selection process begins at entry point 30. In
10 block 32, the system begins waiting for a voice selection response from the subscriber. At the same time, a Sample Introduction, which introduces the agents to the subscriber, is played. In one embodiment the Sample Introduction follows the following script. In the following script, Agent #1 is
15 the default agent in the case of a new subscriber, or the currently selected agent for an existing subscriber who is changing their agent.

Agent #1: You may select among <number of voices
available (n)> assistants. This is what we
20 sound like . . .

Agent #1: It's either my voice, the one you've heard
before . . .

Agent #2: . . . or it's me. You can hear my voice . . .
. . .

25 Agent #n: . . . or you and your callers can hear my
voice.

Agent #1: For my voice, press 1.

Agent #2: For my voice, press 2.

. . .

30 Agent #n: For my voice, press <n>.

It is noted that the phrase used to introduce each new voice (the "personality signature phrase"), such as Agent #2's ". . . or it's me. You can hear my voice . . ." usually contains slightly different words to make up the introduction. The

1 words used are chosen to be consistent with the agent's personality.

5 If the user responds to the Sample Introduction by pressing a key on their telephone between 1 and n, indicating a valid choice of an agent, the branch to block 34 is taken. In block 34, a message, spoken by the just-chosen agent, states, "OK, I'll be your assistant from now on." Of course, the words used by the chosen agent to communicate the choice of that agent may be different for each agent, reflecting that agent's personality. After block 34, the agent selection process exits at block 38.

15 If the user does not respond to the Sample Introduction or responds with an inappropriate key (not a number between 1 and n), the path from block 32 to block 36 is taken. In block 36, a message, spoken by the prior agent (Agent #1 in the case of a new subscriber), states, "OK, I'll continue to be your assistant." Again, the words used by the chosen agent to communicate the retention of the prior agent may be different for each agent, reflecting that agent's personality. After block 36, the agent selection process exits at block 38.

20 With respect to the pre-recorded messages needed to implement the agent selection process, the voice for each agent must record each of the numbers from 1 to n and each of the following phrases:

25 You may select among <number of voices available (n)> assistants. This is what we sound like . . .

It's either my voice, the one you've heard before . . .;

["middle of list" intonation] <personality signature>;

30 ["end of list" intonation] <personality signature>;

For my voice, press . . .;

OK, I'll be your assistant from now on; and

OK, I'll continue to be your assistant.

Each of these phrases is needed for each agent because the current choice of agent, which could be any of the agents for

1 a particular subscriber, determines which agent's recordings
are used for each of the phrases. Again, the specific wording
used by a particular agent to convey the message of each of
5 the phrases can vary with the personality of the agent.

It has been found that even the short exposure to a voice
and personality that is given in the agent selection process
shown in FIG. 2 supplies subscribers with a sufficient amount
of information from which to choose a favorite agent. In
10 particular, most subscribers can immediately choose their
favorite voice/personality after listening to the short
personality signatures provided to them. Alternatively,
though, longer exposure to the different agents can be
provided. In another alternative, the system can conduct an
15 interview process to try to determine for the subscriber which
agent is most likely to appeal to them.

As voice mail system subscribers use a particular voice
mail system more often than outside callers, the choosing of a
personal agent is more important for subscribers than callers.
20 However, using ANI information contained in the data the voice
mail system receives with each incoming call that identifies
the calling stations phone number, the voice mail system can
automatically use a selected agent for all calls received from
a particular phone number. Alternatively, Caller ID
25 information or any other method of determining the calling
parties number can be used in place of or in addition to ANI
information. In this way, the time involved in selecting an
agent by a caller can be worthwhile for callers who call the
particular voice mail system often from the same phone number.
30 Alternatively, known voice recognition technology can be used
to identify incoming callers by analyzing the spoken response
to a system generated prompt, such as, "Hello, you've reached
the office of Joe Smith, whom may I say is calling?" The
response is then processed by the voice recognition software

1 and if the caller is recognized, the caller's choice of agent is then used.

Fig. 3 illustrates a flow chart for a combination of the ANI and voice recognition methods of caller identification, in which a database is provided to store associations and correlations between callers identified by the voice recognition software and the number from which they have called, according to the ANI information. Thus, when a call comes into the system, the database is first checked to determine if there are any entries corresponding to the ANI information for the incoming call as shown by blocks 41 and 42. If there is only one entry for the calling number, the system answers the call, "Hello, is this Joe Smith?" using the agent that Joe Smith has chosen before. Then, analyzing the response to this prompt, the voice recognition software can verify that the caller's voice matches the systems records for Joe Smith's voice as depicted by block 43 and 44. If the response is a "yes" and the voice matches a record in the database, i.e., a positive verification is confirmed in block 44, the system selects a pre-determined recorded message for the caller as shown is block 46. If the voice does not match, or says "No", i.e., not verified according to block 44, the system first checks to determine if the voice of the response matches any other records in the database as depicted by block 45. As illustrated by block 45, if there is a match, the system asks if the caller is the person in the database for the matching voice to verify their identity in block 44. If there are more than one voice entry for the calling number, the system searches the database for the given calling number to find a match for the voice, shown by block 45, and then proceeds to the verification step (block 44) as described above. If the system does not find a match in the database for the calling number or the voice, or if the caller does not verify their identity as matching the person selected from the

1 database, the system proceeds to add a new entry for the caller, with both the ANI information and voice pattern information as demonstrated by block 47.

5 Using the same methods, a subscriber can be identified when they call into the system from an outside telephone. In this instance, the subscriber himself or herself has an entry in the database. This database includes the subscriber's various telephone numbers, such as home, office and mobile numbers. Thus, if the system receives a call with ANI
10 information matching any of the subscriber's various telephone numbers, there is a high likelihood that the caller is the subscriber. When an outside caller is identified by the system as a subscriber, that subscriber's agent is used to handle to call.

15 The identification methods also allow a subscriber to select an agent for anyone in their address book. This is of particular usefulness when the subscriber knows that a person in the database is more comfortable with a language different than the language used by the system's default agent. In an
20 example of this situation where a particular caller prefers to speak French, the subscriber can have the voice mail system always use a French language agent once it has identified that caller.

25 Additionally, the voice mail system can be set up to allow outside callers who are frequent users of the voice mail system to choose an agent that is preferable to them. Again, as with the caller who prefers a different language, once the caller is identified by the voice mail system, the database is
30 accessed and the caller's chosen agent is used for the duration of the call. In this case, though, no subscriber involvement is necessary in the selection of the preferred agent for that caller.

35 In an alternative embodiment, using known weaving technology (voice patterns of someone reading a sentence or

1 paragraph are taken and the words/phonemes in the sample are
used to make new sentences) the system can create an agent
based upon the user's own voice and speech patterns. Thus, a
5 user reads a paragraph (or however much sample is needed) and
the recording of that reading is used to automatically create
the pre-recorded messages for that user's agent.

10 The database that stores information about callers based
on ANI information, voice recognition or a combination of
these techniques allows further functionality to the voice
mail system apart from the selection of a preferred agent
personality. This additional functionality centers around the
concept of a personal assistant, enabled by expanding the
incoming caller database into a telephone user interface (TUI)
15 address book. The address book contains phone numbers, fax
numbers, other numbers, e-mail addresses and other information
that will facilitate intelligent handling of calls to and from
the people in the address book.

20 Each entry in the address book contains an entry number,
a caller-spoken name utterance, a subscriber-spoken utterance
of the entry's name, the entry's phone number, and the entry's
fax number (optional). As caller messages are left for the
subscriber with the voice mail system, the caller-spoken
names, ANI and keyed-in phone numbers are saved with the
25 message (if requested by the greeting option selected and
given by the caller). When the subscriber has listened to a
message for which at least some phone number information is
available, one option that becomes available is to add this
caller to the address book. If this option is selected, the
30 voice mail system will prompt the subscriber to say the spoken
name of the caller, verify the phone number to be stored, and
enter the fax number if available and not already entered by
the caller.

35 Names may also be added manually by selecting the
appropriate option under a "Setup/Address Book" menu of the

1 voice mail application. After selecting the slot into which
the entry will be placed, the subscriber-spoken utterance of
the entry's name, the phone number and the fax number are
5 entered manually.

Each slot in the address book is numbered, starting with
one. When a person is assigned to a slot, they stay there with
that number until manually deleted or replaced via the address
book maintenance options (Add, Change, Delete, Review One,
10 Review All) in the "Setup/Address Book" menu. Deletions do
not reorder the list, and additions are placed in the slot
number specified by the subscriber (the first available slot
is suggested by the system). Any information in a slot may be
replaced (Change command).

15 One function available to the system with TUI address
book is calling people from the information in the address
book. To call a person in the address book the subscriber
presses a number associated the person. If there are 10 or
more entries, the selection of the entry to dial is considered
20 complete when the "#" key is pressed or a timeout occurs. If
the number of entries is less than 10, the attempted outdial
occurs immediately upon receipt of the single-digit keypress,
without waiting for a timeout. The phone number from the
address book is then used to dial the desired person.

25 Alternatively, the phone number for a person in the
address book can be dialed when the subscriber speaks the
person's name to the voice mail system, using voice
recognition. In one embodiment, the voice recognition relies
on the subscriber having spoken the person's name to the voice
30 mail system when the person's address book record was created.
In another embodiment, a voice recognition name template is
created from the text representation of the person's name and
stored as an entry in the address book. The person is then
dialed by a voice command matching the voice recognition name
35 template.

1 Another feature is automatically adding an entry to the
subscriber's address book from the information stored in an
incoming voice message to the subscriber. Particularly if the
5 voice mail application prompts the caller to say their phone
number at a particular point in the call, the phone number is
converted into digits which are automatically stored in the
caller's entry in the address book should the subscriber add
the person to the address book. The address book also allows
10 the automatic addition of a person to the subscriber's address
book from the information stored in an incoming e-mail message
to the subscriber.

15 The system stores extensive information about the
subscriber's use of a particular entry in the Address Book as
part of the entry. Thus, for example, when there is a
question by the voice recognition software whether the
subscriber said "John Smith" or "Joe Smith," the system can
make an intelligent guess that the subscriber intended to dial
Joe Smith because that subscriber calls Joe Smith once a week
20 on average and that subscriber hasn't called John Smith in
over six months.

25 The system also stores information about the number and
frequency of calls from a particular entry in the address book
as part of the entry, as well as storing information about how
the subscriber treats this caller and/or messages from this
caller. With this information, the system can predict how the
subscriber will want to treat a particular call/message. For
example, if the subscriber always immediately returns calls
from their mother, the voice mail system presents the message
30 from the subscriber's mother and automatically asks if the
subscriber would like the system to place a return call
immediately. Alternatively, if a subscriber routinely sends
calls from a particular caller to be answered by the voice
messaging function, the system will present the call with a
35 statement such as, "Mr. X is calling, I will route the call to

1 voice mail unless you press the star key . . .” The same
information can be used by the voice mail system to make an
internal decision to promote an address book entry to a VIP
5 list based on subscriber's treatment of calls and messages
from entry.

In an alternative embodiment, a visual graphic based
computer interface is used to communicate between the voice
mail system and the subscribers in all of the functions
described above instead of using voice based menus used over
10 the subscriber's phone as described above.

Another feature of the preferred embodiment is
automatically adjusting which pre-recorded messages are played
at different points in user interaction based upon the user's
competence in interacting with the system, as perceived by the
15 voice mail system. In almost any particular point in the
interaction with a voice mail system, while there is one
particular piece of information that needs to be communicated
to the user, the manner of communicating this information can
range greatly along the spectrum from terse to verbose.
20 Generally, experienced users prefer more terse messages while
inexperienced users appreciate more verbose messages. In a
preferred embodiment, the system monitors various parameters
such as the frequency at which the user reaches a particular
point in the system where a message is played, errors made by
25 the user (determined by hangups followed by immediate return
calls, backups in a menu hierarchy, etc.), multiple long
pauses without user input at the same point in the system on
consecutive calls (as opposed to singular pauses which may be
the result of the user being distracted/interrupted while
30 using the system), and how quickly a user interrupts a message
with a selection (e.g., DTMF tone).

A preferred embodiment allows the use of these detection
criteria to be used both for changing the message played at a
local point in the system or for making global changes in the
35

1 level of message played to a particular user. This process is
called flexing the prompt levels and the specific way the
various parameter are programmed to affect the flexing of
5 prompt levels is called the flex behavior of the system. Of
course, the user is allowed to override the system and
manually select the terseness of messages either locally or
globally. Last, as with the selectable agent personalities,
while mostly applicable to internal users of the system, the
10 invention may also be applied to incoming callers, identified
by the ANI information received with the call or any other
manner of identifying the caller, including voice recognition
as discussed above.

15 The system also allows the fine-tuning of the flex
behavior by individually setting all of these "flex-triggers"
on a state-by-state basis, allowing the application designer
very precise control over flex behavior throughout the
application. For example, items on the main menu, used more
frequently, might flex after 5 correct uses, whereas the
20 selection of a greeting style (which includes a long preamble
before the menu at the beginner level) might flex after only
two uses.

25 Further, this would also allow an entire set of flex
settings to be associated with a "language," allowing the
application designer to adjust flex behavior to be appropriate
for various languages/personalities provided with the
application.

30 In a preferred embodiment, there are three levels of
prompting and four possible settings of a subscriber's prompt
level:

35 Flex - this setting automatically adjusts prompts among
the three available levels to accommodate the
subscriber's experience level at a number of pre-defined
states in the user interface, adjusting the level up (or
down) as appropriate.

1 Training - these are explanatory prompts that provide all
of the information a subscriber might require to
understand what the next appropriate response on their
part needs to be.

5 Standard - these are concise prompts, asking for the
subscriber's response directly, with little or no
explanation; they assume the subscriber has progressed
beyond the "training" stage.

10 Advanced - these are tightly edited prompts, using as
little verbiage as possible to prompt for the desired
response.

When the Flex level is selected, at pre-defined states in
the user interface, the preferred embodiment adjusts its
prompts among the three available levels to match the
subscriber's level of experience with a particular activity.
In any state within which prompt level is not tracked, prompt
level is inherited from its parent state.

In order to adjust prompt levels appropriately, the
system tracks the subscriber's experience at each of the
predefined states within the call flow, adjusting in either
direction based on both usage and the length of time since the
last use of the function. For example, if the subscriber
successfully completes a given action (based on valid
keypresses and/or error tones received) a certain number of
times, the prompts are abbreviated by one level. If, on the
other hand, they fail a certain number of times, or if they
have not attempted this particular action for more than "n"
calendar days, the prompts are lengthened by one level.

The flex level is also adjusted based upon a combination
of the total number of times a particular menu item has been
used by a subscriber and the number of uses in a recent time
period by the subscriber. Thus a subscriber who has used a
menu item many times in the past, but has not used it in the
last three months may be given a lower flex level than a

1 subscriber who has not use the menu item nearly as many times
total, but has used the menu item ten times in the last week.
On the other hand, the subscriber who has used the menu item
5 many times in the past, but has not used it in the last three
months should probably be placed at more advanced flex level
than a subscriber who has used the menu item only two times,
but both uses were in the last month.

10 Whenever the subscriber responds to a particular prompt
with silence, the Training level's version of that prompt is
played next, providing more information about the response
expected (no permanent adjustments are made to prompt level;
this is isolated behavior on a prompt-by-prompt basis).

15 The subscriber has the option to lock all interaction at
a certain level, regardless of experience. (In areas where
lack of instruction could result in loss of information or
other undesirable result, verbose prompts are given, even at
the Advanced level).

20 Although the invention has been described with reference
to specific embodiments, this description is not meant to be
construed in a limiting sense. Various modifications of the
disclosed embodiments as well as alternative embodiments of
the invention will become apparent to one skilled in the art
upon reference to the description of the invention. It is
25 therefore contemplated that the appended claims will cover any
such modifications of the embodiments that fall within the
true scope of the invention.

1

What is claimed is:

5

1. A method of personalizing voice messages to be used by a voice mail system in interacting with a user based on information provided by the user in an interactive communication between the voice mail system and the user comprising the steps of:

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creating a plurality of sets of recorded messages according to distinct personalities for interacting with the voice mail system; and

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selecting a recorded message from the plurality of sets of recorded messages based on interactive inquiries between the user and the voice mail system.

20

2. The method of claim 1, further comprising the step of personalizing the selected recorded message responsive to the information provided by the user.

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3. The method of claim 2, wherein the personalizing step comprises modifying the speed, dialect, or pitch of the selected recorded message.

30

4. The method of claim 1, wherein the creating step comprises automatically creating a set of recorded messages corresponding to the user's own voice and speech patterns using voice recognition.

35

5. The method of claim 1, wherein the selecting step comprises:
playing a sample introduction from a plurality of the sets of recorded message while waiting for a selection entry from the user;
affecting a recorded message responsive to the selection entry made by the user; and

1

affecting a recorded message based on a previous selection if no selection entry is made by the user.

5

6. The method of claim 5, further comprising the step of confirming the selected recorded message by playing back to the user a confirmation message using the same personality as the selected message.

10

7. The method of claim 1, wherein the selecting step comprises conducting an interview with the user to determine an appropriate selection based on responses given by the user.

15

8. The method of claim 1, wherein the selecting step comprises selecting a pre-determined recorded message based on identification of the user by voice recognition.

20

9. The method of claim 1, wherein the selecting step comprises selecting a pre-determined recorded message based on identification of a calling number using ANI information contained in data received by the voice mail system.

25

10. The method of claim 1, wherein the selecting step comprises selecting a pre-determined recorded message based on identification of a calling number using Caller ID information.

30

11. The method of claim 1, wherein the selecting step comprises selecting a recorded message for a person associated with an entry in an address book.

35

12. The method of claim 1, wherein the selecting step comprises:

searching a database having entries for associations between voice patterns of users identified by a voice

1 recognition system and calling numbers according to ANI
information to find a match for a calling number;
searching the database to find a match for the user's
5 voice pattern associated with a matched calling number;
seeking confirmation from the user for a matched voice
pattern using a previously selected personality; and
selecting a pre-determined recorded message based on the
matched voice pattern.

10 13. The method of claim 12, further comprising the step
of adding a new entry in the database for the user associating
the calling number with the user's voice pattern if no match
is found.

15 14. The method of claim 1, wherein the plurality of sets
of recorded messages are used for system prompts to the user.

20 15. The method of claim 14, wherein the interactive
inquiries between the user and the voice mail system is
determined by the system according to the user's competence in
interacting with the system.

25 16. The method of claim 15, wherein the plurality of sets
of recorded messages differ in length and speed.

30 17. The method of claim 15, wherein the user's competence
is determined by a plurality of detection criteria monitored
by the system.

35 18. The method of claim 17, wherein a detection criterion
is the frequency at which the user reaches a particular point
in the system.

1 19. The method of claim 17, wherein a detection criterion
is the errors made by the user.

5 20. The method of claim 17, wherein a detection criterion
is the long pauses of the system without user response at the
same point in the system on consecutive calls.

10 21. The method of claim 17, wherein a detection criterion
is how quickly the user halts a message with a selection.

15 22. The method of claim 15, wherein the plurality of sets
of recorded messages are used for making system-wide changes
in level of messages for a particular user.

20 23. The method of claim 15, wherein the plurality of sets
of recorded messages are used for changing the system prompts
at a local point in the system.

25 24. The method of claim 1, wherein the user is a
subscriber of the voice mail system.

30 25. The method of claim 1, wherein the user is an outside
caller.

35 26. An apparatus for personalizing voice messages to be
used by a voice mail system in interacting with a user based
on information provided by the user in a communication between
the voice mail system and the user comprising:

- an application module;
- a management module;
- a media module interconnected to the application module
and the management module;
- a storage medium connected to the media module, the
management module, and the application module;

1 means for creating a plurality of set of recorded messages according to distinct personalities for interacting with the voice mail system; and

5 means for selecting a recorded message from the plurality of set of recorded messages based on interactive inquiries between the user and the voice mail system.

10 27. The apparatus of claim 26, further comprising means for personalizing the selected recorded message responsive to the information provided by the user.

28. The apparatus of claim 26, wherein the means for selecting comprises of:

15 means for playing a sample introduction from a plurality of the sets of recorded message while waiting for a selection entry from the user;

means for affecting a recorded message responsive to the selection entry made by the user;

20 means for affecting a recorded message based on a previous selection if no selection entry is made by the user; and

25 means for confirming the selected recorded message by playing back to the user a confirmation message using the same personality as the selected message.

30 29. The apparatus of claim 26, wherein the selecting means comprises of means for selecting a pre-determined recorded message based on identification of a calling number using ANI information contained in data received by the voice mail system.

30. The apparatus of claim 26, wherein the selecting means comprises of means for selecting a pre-determined

35

1 recorded message based on identification of a calling number
using Caller ID information.

5 31. The apparatus of claim 26, wherein the selecting
means comprises of:

means for searching a database having entries for
associations between voice patterns of users identified by a
voice recognition system and calling numbers according to ANI
10 information to find a match for a calling number;

means for searching the database to find a match for the
user's voice pattern associated with a matched calling number;

means for seeking confirmation from the user for a
matched voice pattern using a previously selected personality;

15 means for selecting a pre-determined recorded message
based on the matched voice pattern; and

means for adding a new entry in the database for the user
associating the calling number with the user's voice pattern
if no match is found.

1

METHOD FOR CUSTOMIZING INFORMATION FOR INTERACTING WITH A
VOICE MAIL SYSTEM

5

ABSTRACT OF THE DISCLOSURE

10

A method and apparatus for personalizing voice messages to be used by a voice mail system in interacting with a user based on information provided by the user in an interactive communication between the voice mail system and the user. The method comprises the steps of creating sets of recorded messages according to distinct personalities for interacting with the voice mail system, selecting a recorded message from the plurality of sets of recorded messages based on interactive inquiries between the user and the voice mail system, and personalizing the selected recorded message responsive to the information provided by the user.

15

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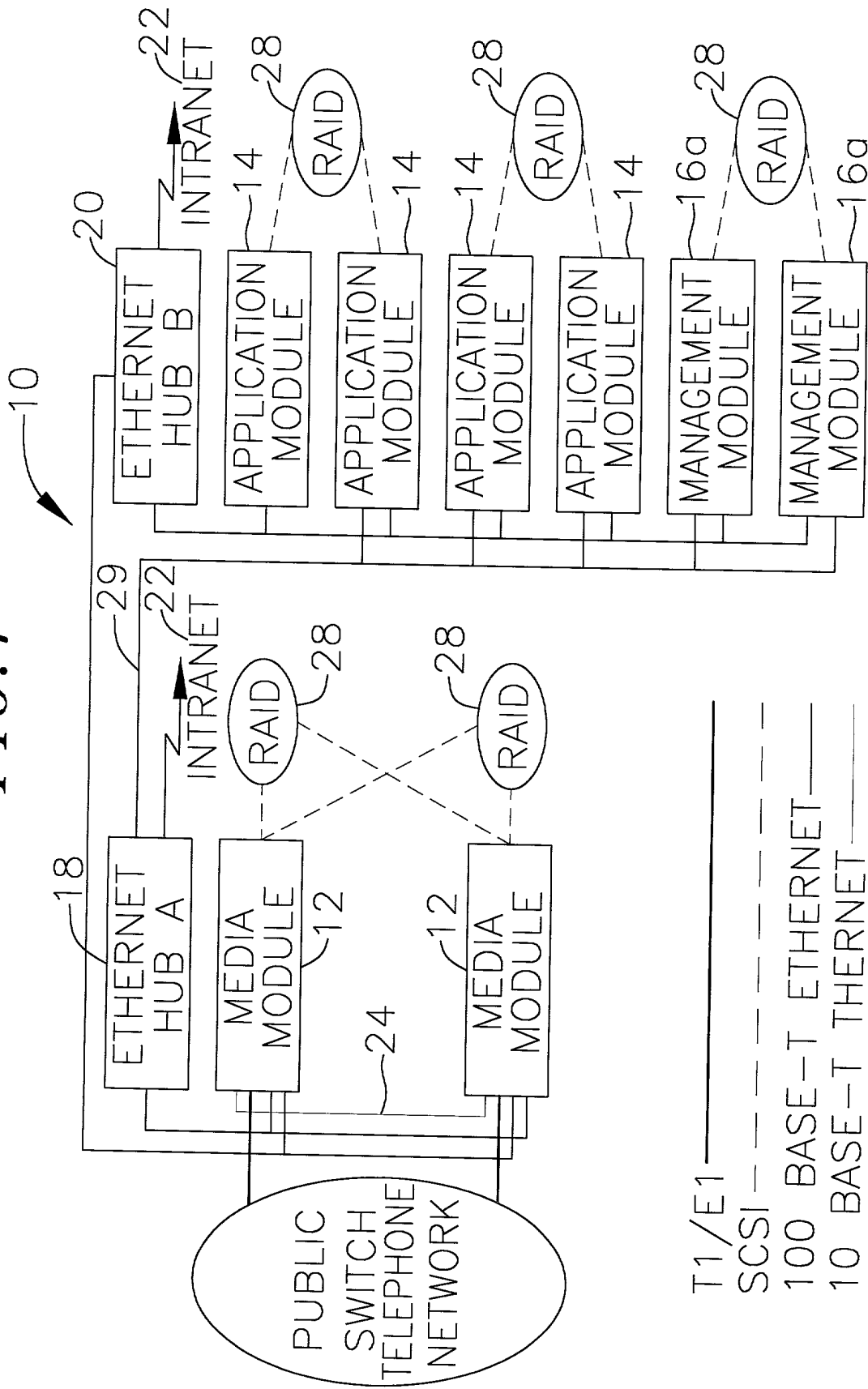
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FIG. 1



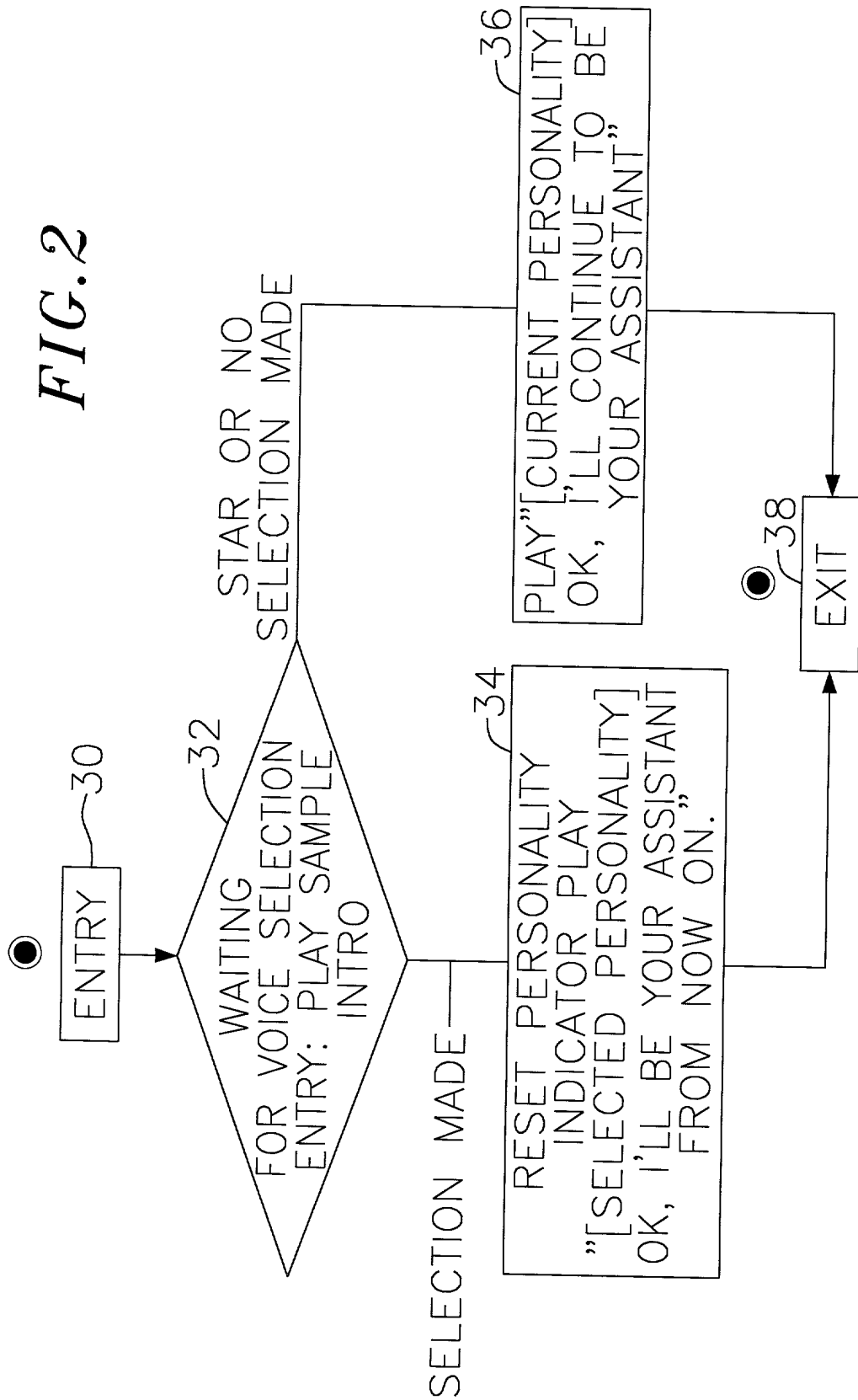
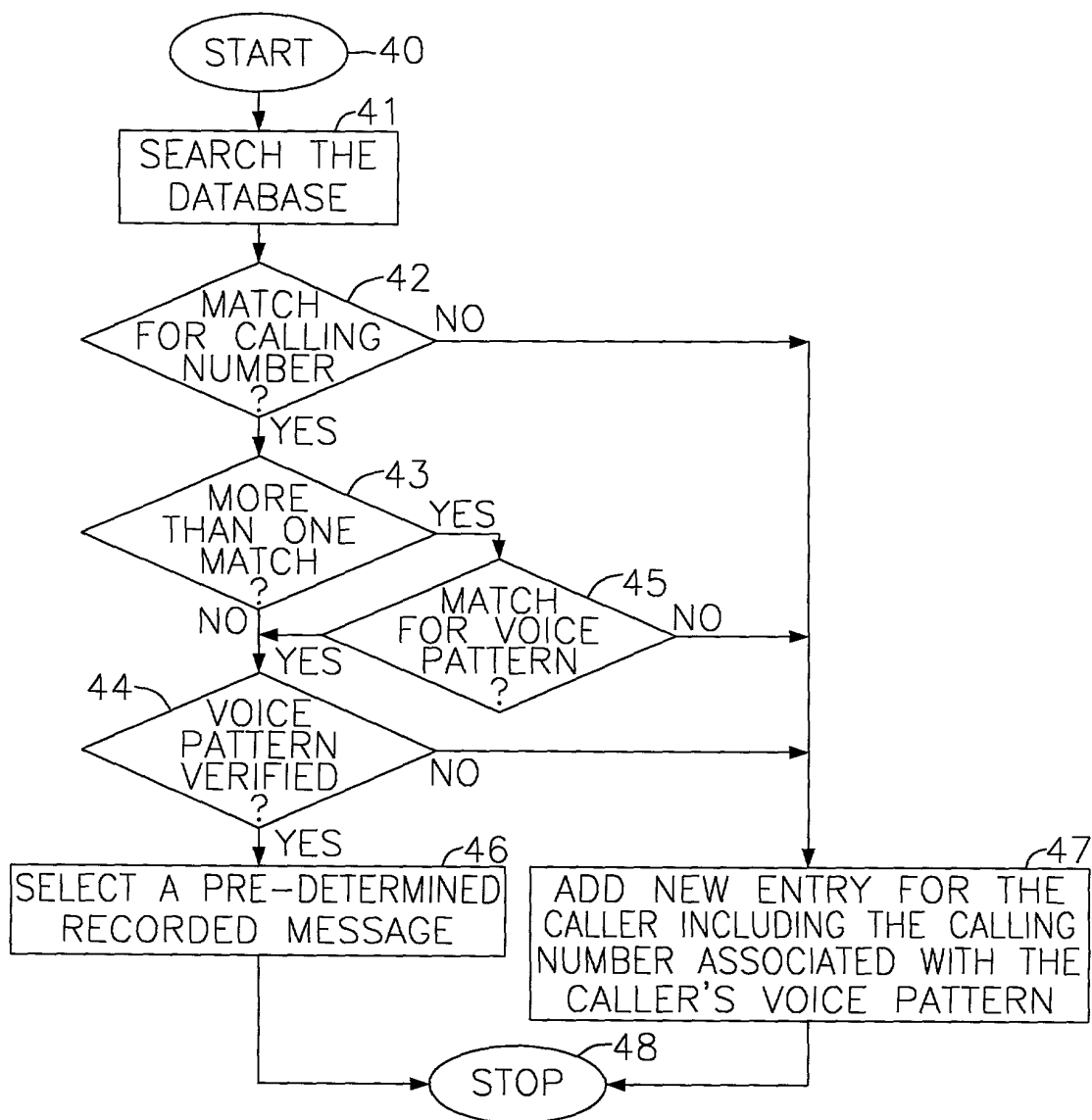


FIG. 3



857027-2084960

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

PATENT

Docket No. : 33318/WWM/D245
Attorney : Wesley W. Monroe

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD FOR CUSTOMIZING INFORMATION FOR INTERACTING WITH A VOICE MAIL SYSTEM, the specification of which is attached hereto unless the following is checked:

___ was filed on ___ as United States Application Number or PCT International Application Number ___ and was amended on ___ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of the foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

<u>Application Number</u>	<u>Country</u>	<u>Filing Date (day/month/year)</u>	<u>Priority Claimed</u>
---------------------------	----------------	-------------------------------------	-------------------------

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>Application Number</u>	<u>Filing Date</u>
60/060,812	October 1, 1997

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>Application Number</u>	<u>Filing Date</u>	<u>Patented/Pending/Abandoned</u>
---------------------------	--------------------	-----------------------------------

POWER OF ATTORNEY: I hereby appoint the following attorneys and agents of the law firm CHRISTIE, PARKER & HALE, LLP to prosecute this application and any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from the assignee of the entire interest in this application; or from the first or sole inventor named below in the event the application is not assigned; or from ___ in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

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**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

Docket No. 33318/WWM/D245

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David A. Dillard	(30,831)	Craig A. Gelfound	(41,032)	Patrick Y. Ikehara	(46,821)
Thomas J. Daly	(32,213)	Syed A. Hasan	(41,057)	Mark Garscia	(31,953)

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I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor William D. Castagna	Inventor's signature	Date
Residence and Post Office Address 122 Descanso Avenue, Ojai, California 93023		Citizenship USA

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